



Docket No. 2001-021
PATENT

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 8/30/04

BY: _____

Annie Wong

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Mansky et al.

Serial No.: 09/939,149

Filed: 8/24/2001

For: High Throughput Rheological Testing of Materials

Confirmation No. 3009

Group Art Unit: 2856

Examiner: Frank, Rodney T.

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Transmitted herewith (check all that apply):

- | | |
|--|--|
| <input type="checkbox"/> Preliminary Amendment | <input type="checkbox"/> Supplemental Information Disclosure Statement |
| <input type="checkbox"/> Response/Amendment | <input type="checkbox"/> Petition Under 37 CFR 1.97(d)(2) |
| <input type="checkbox"/> Response/Amendment After Final | <input type="checkbox"/> Formal Drawings |
| <input type="checkbox"/> Supplemental Amendment | <input type="checkbox"/> Declaration Under 37 CFR 1.131 |
| <input type="checkbox"/> Affidavits/Declarations | <input type="checkbox"/> Declaration Under 37 CFR 1.132 |
| <input type="checkbox"/> Declaration and Power of Attorney | <input type="checkbox"/> Terminal Disclaimer |
| <input type="checkbox"/> Supplemental Declaration | <input type="checkbox"/> Small Entity Statement |
| <input checked="" type="checkbox"/> Power of Attorney and Correspondence | <input type="checkbox"/> Request for Refund |
| Address Indication Form | <input type="checkbox"/> Appeal |
| <input type="checkbox"/> Associate Power of Attorney | <input type="checkbox"/> Petition |
| <input type="checkbox"/> Response to Missing Parts | <input checked="" type="checkbox"/> Statement Under 37 CFR 3.73(b) |
| <input checked="" type="checkbox"/> Request for Change of Docket Number | |

to be filed in the above-identified patent application.

☒ No fee is required.

☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 50-0496.

A duplicate copy of this Transmittal Letter is transmitted herewith.

Respectfully submitted,



Paul A. Stone
Reg. No. 38,628
Attorney for Applicant(s)

Date: Aug 30 2004

Symyx Technologies, Inc.
3100 Central Expressway
Santa Clara, California 95051
Tel.: (408) 773-4027
Fax: (408) 773-4029



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**POWER OF ATTORNEY
and
CORRESPONDENCE ADDRESS
INDICATION FORM**

Application Number	09/939,149
Filing Date	August 24, 2001
First Named Inventor	Mansky et al.
Title	High Throughput Rheological Te
Art Unit	2856
Examiner Name	Frank, Rodney T.
Attorney Docket Number	2001-021

I hereby appoint:

☒ Practitioners associated with the Customer Number:

22905

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The address associated with the above-mentioned Customer Number:

OR

☐ The address associated with Customer Number:

☐ Firm or Individual Name

Address

Address

City

State

Zip

Country

Telephone

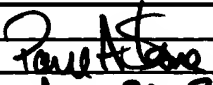
Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Name	Paul A. Stone	Signature	
Date	Aug 30, 2004	Telephone	(408) 773-4027

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Mansky et al.

Application No./Patent No.: 09/939,149 Filed/Issue Date: 8/24/2001; 8/03/2004

Entitled: High Throughput Rheological Testing of Materials

Symyx Technologies, Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or

2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 012497, Frame 0988, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (*i.e.*, the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Aug 30, 2004
Date

(408) 773-4027

Telephone number

Paul A. Stone

Typed or printed name

Paul A. Stone
Signature

V.P. & Chief Patent Counsel

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES
PATENT AND
TRADEMARK OFFICE



**CORRECTED
NOTICE**

JULY 11, 2002

DOBRUSIN & THENNISCH PC
ERIC M. DOBRUSIN, ESQ.
401 SOUTH OLD WOODWARD AVENUE
SUITE 311
BIRMINGHAM, MI 48009

Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov



102143691A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

rum

1012-119

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/18/2002

REEL/FRAME: 012497/0988
NUMBER OF PAGES: 3

rum

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

MANSKY, PAUL

DOC DATE: 11/26/2001

ASSIGNOR:

HAJDUK, DAMIAN A.

DOC DATE: 11/15/2001

ASSIGNEE:

SYMYX TECHNOLOGIES, INC.
3100 CENTRAL EXPRESSWAY
SANTA CLARA, CALIFORNIA 95051

SERIAL NUMBER: 09939149
PATENT NUMBER:

FILING DATE: 08/24/2001
ISSUE DATE:

RHONDA NICOL, SUPERVISOR
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

Received
JUL 18 2002
Dobrusin & Thennisch, P.C.

November 27, 2001

VIA FIRST CLASS MAIL
Commissioner for Patents
Box: Missing Parts
Washington, D.C. 20231



07-08-2002



102143691

Date of Deposit: November 27, 2001

Re: Recordation Of Assignment

Dear Sir:

110.02

Please record the attached original assignment document.

1. Names of conveying parties: Paul Mansky
Damian A. Hajduk
2. Name and Address of Receiving Party: Symyx Technologies, Inc.
3100 Central Expressway
Santa Clara, CA 95051
3. Nature of Conveyance: Assignment executed November 15, 2001 and November 26, 2001.
4. This document is being filed for U.S. Application Serial No. 09/939,149, filed August 24, 2001.
5. Correspondence address: Eric M. Dobrusin, Esq.
DOBRUSIN & THENNISCH PC
401 South Old Woodward Avenue
Suite 311
Birmingham, MI 48009
6. Total number of applications involved = 1
7. Total fee: \$40. Please charge deposit account 50-0496 for this fee. A duplicate copy of this cover letter is enclosed.

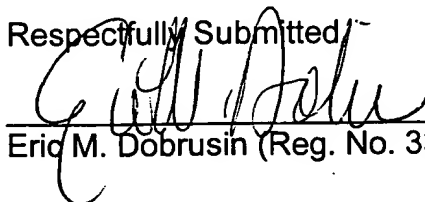
To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

The total number of pages, including cover letter and assignment is three.

01/25/2002 DBYRNE 00000102 500496 09939149
01 FC:581 40.00 CH

Enclosure

Respectfully Submitted


Eric M. Dobrusin (Reg. No. 33,867)

ASSIGNMENT OF PATENT APPLICATION

WHEREAS, Paul Mansky of San Francisco, California and Damian A. Hajduk of San Jose, California, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below identified application for United States Letter Patent:

Title of Invention: HIGH THROUGHPUT RHEOLOGICAL TESTING OF MATERIALS

Filing Date: August 24, 2001 Application No.: 09/939,149; and

WHEREAS, Symyx Technologies, Inc., is a corporation organized under the laws of the State of Delaware, located at 3100 Central Expressway, Santa Clara, California 95051, hereinafter referred to as "Assignee," is desirous of acquiring an interest in the invention and application and in any Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application, and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Date: 11-26-01

Paul Mansky
Paul Mansky

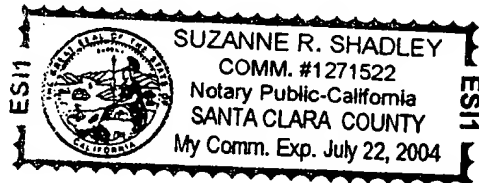
STATE OF California

COUNTY OF Santa Clara

On 11-26-01, before me, Suzanne R. Shadley, Notary Public, personally appeared Paul Mansky, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

Signature Suzanne R. Shadley (Seal)



Date: 15 NOVEMBER 2001



Damian A. Hajduk

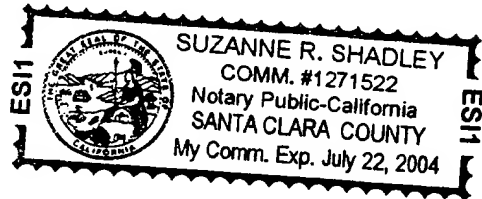
STATE OF California

COUNTY OF Santa Clara

On 11-15-01, before me, Suzanne R. Shadley, Notary Public, personally appeared Damian A. Hajduk, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

Signature  (Seal)



OFFICE OF PUBLIC RECORDS
Practitioner's Docket No. 1012-119

PATENT

2002 JUL -2 PM 2:24

FINITE SECTION

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Mansky et al.

Application No.: 09/939,149

Group No.: 1754

Filed: August 24, 2001

Examiner: Unknown

For: HIGH THROUGHPUT RHEOLOGICAL TESTING OF MATERIALS

**U.S. Patent and Trademark Office
Assignment Division
Box Assignments
CG-4, 1213 Jefferson Davis Hwy.
Suite 320
Washington, D.C. 20231**

CORRECTION OF RECORDED ASSIGNMENT

1. Applicant has noted an error in the Notice of Recordation of Assignment Document for the assignment(s) (document(s)) previously recorded on January 18, 2002.

Reel 012497, Frame 0988

2. Attached hereto is the original Assignment Document and a marked up copy of the Notice of Recordation of Assignment Document.

CERTIFICATE OF MAILING/TRANSMISSION 37 C.F.R. section 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

- ☒ deposited with the United States Postal Service with sufficient postage as Express Mail "Post Office to Addressee" Mail Label No. EV082541359US in an envelope addressed to the U.S. Patent and Trademark Office, Washington, D.C. 20231.

FACSIMILE

- ☐ transmitted by facsimile to the Patent and Trademark Office.

Date: 7/2/02

Roni L. Masquelier
Signature

Roni L. MASQUELIER
(type or print name of person certifying)

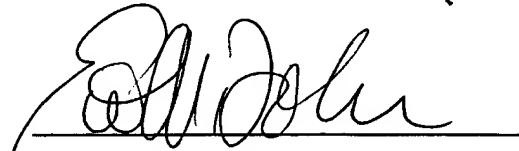
3. The error is apparent when the assignment document is compared with the recorded document because:

The dates of execution of the assignment by the inventors have been transposed. As shown in the assignment document, Paul Mansky executed the assignment on November 26, 2001 and Damian A. Hajduk executed the assignment on November 15, 2001.

5. Please charge any fees due by this paper to Deposit Account 50-0496.

Date:

July 1, 2002



Eric M. Dobrusin
Registration No. 33,867
DOBRUSIN & THENNISCH PC
401 South Old Woodward Avenue
Suite 311
Birmingham, MI 48009
248-593-9900
Customer No. 25215



Docket No. 2001-021
PATENT

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 30, 2004.

BY: _____

Annie Wong

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Mansky et al.

Serial No.: 09/939,149

Filed: 8/24/2001

For: High Throughput Rheological
Testing of Materials

Confirmation No. 3009

Group Art Unit: 2856

Examiner: Frank, Rodney T.

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CHANGE OF DOCKET NUMBER

Sir:

Please change the docket number for the above identified application to: **2001-021**.

Respectfully submitted,

Paul A. Stone
Reg. No. 38,628
Attorney for Applicant(s)

Date: _____

Aug 30, 2004

Symyx Technologies
3100 Central Expressway
Santa Clara, California 95051
Tel.: (408) 764-2000 Fax: (408) 773-4029